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EXAMINER

LIN, KENNY S

ART UNIT PAPER NUMBER

2154

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Please find below and/or attached an Office communication concerning this application or proceeding.

<p align="center"><b>Office Action Summary</b></p>	<b>Application No.</b> 09/872,978	<b>Applicant(s)</b> PAUL ET AL.	
	<b>Examiner</b> Kenny Lin	<b>Art Unit</b> 2154	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 27 December 2004.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-66 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-66 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>all</u> . | 6) <input type="checkbox"/> Other: _____  |

**DETAILED ACTION**

1. Claims 1-66 are presented for examination.
2. The submitted IDS have been considered.

***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. Claims 1-8, 22, 25, 27, 34-41, 55, 58 and 60 are rejected under 35 U.S.C. 102(e) as being anticipated by Schwartz et al (Schwartz), US 6,473,609.
5. Schwartz was cited in the previous office action.
6. As per claims 1 and 34, Schwartz taught the invention as claimed including a method of interacting with a client process on a mobile device connected to a network over a wireless link (col.11, lines 5-18), the method comprising the steps of:
  - a. Receiving at a mobile interactions server a first message from the client process indicating a first action by a user of the mobile device (col.13, lines 26-32, 39-58),

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the first action related to a first graphical element displayed on the device for requesting a service from an application (col. 12, lines 32-43, col. 13, lines 1-3, 13-19, 26-32, 39-58);

- b. Based on the first message, determining whether the action is associated with an event type of a plurality of predetermined event types (col. 11, lines 65-67, col. 12, lines 1-67, col. 13, lines 1-32);
- c. If it is determined the action is not associated with the event type (col. 13, lines 29-32, display next display screen), then, without invoking any method of the application, generating first data describing any change in the first graphical element (col. 13, lines 29-38); and sending the first data to the client process for changing the display of the first graphical element (col. 13, lines 32-58).

7. As per claims 2 and 35, Schwartz taught the invention as claimed in claims 1 and 34. Schwartz further taught to comprise, if it is determined the action is associated with the event type (col. 14, lines 10-25), then performing the steps of:

- a. Generating second data describing a particular event of the event type (col. 14, lines 10-25, request);
- b. Invoking a particular event handling method of the application to provide the service, the particular event handling method associated with the event type (col. 14, lines 18-58); and passing the second data to the event handling method (col. 14, lines 10-16).

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8. As per claims 3 and 36, Schwartz taught the invention as claimed in claims 1 and 34.

Schwartz further taught to comprise that before said step of receiving the first message, the step of:

- a. Receiving second data from the application describing the first graphical element and indicating one or more event handling methods associated with the first graphical element (col.8, lines 52-62, col.9, lines 32-39, col.12, lines 39-48, col.16, lines 30-66); and
- b. Passing the second data to the client process for displaying the first graphical element (col.9, lines 32-39).

9. As per claims 4 and 37, Schwartz taught the invention as claimed in claims 3 and 36.

Schwartz further taught that each of the one or more event handling methods is associated with one event type of the plurality of predetermined event types (col.11, lines 65-67, col.12, lines 1-67, col.13, lines 1-32).

10. As per claims 5 and 38, Schwartz taught the invention as claimed in claims 2 and 35.

Schwartz further taught that the particular event handling method is associated with one event type of the plurality of predetermined event types (col.14, lines 10-58, URL requesting).

11. As per claims 6 and 39, Schwartz taught the invention as claimed in claims 5 and 38.

Schwartz further taught that a name of the particular event handling method indicates the one event type with which it is associated (col.19, lines 33-44).

12. As per claims 7 and 40, Schwartz taught the invention as claimed in claims 2 and 35. Schwartz further taught that the particular event handling method is associated with the first graphical element (col.14, lines 10-58).

13. As per claims 8 and 41, Schwartz taught the invention as claimed in claims 1 and 34. Schwartz further taught that the plurality of predetermined events types does not indicate an event type associated with the user of the mobile device pressing a character key (col.19, lines 33-44).

14. As per claims 22 and 55, Schwartz taught the invention as claimed in claims 2 and 35. Schwartz further taught to comprise, if the particular event handling method determines not to complete processing of the particular event, then receiving third data indicating a particular exception in a particular event exception handling method of the mobile interactions server for skipping all remaining event handling methods for the particular event (col.16, lines 8-29, pressing the key stops processes of particular events and return to other page).

15. As per claims 25 and 58, Schwartz taught the invention as claimed in claims 2 and 35. Schwartz did not specifically teach that the particular event handling method inherits from a first event handling interface provided by the mobile interactions server, the first event handling interface defining the plurality of event types (figs. 5A-5B, figs. 7A-7D).

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16. As per claims 27 and 60, Schwartz taught the invention as claimed including a method of interacting with a client process on a mobile device connected to a network over a wireless link, the method comprising the steps of:

- a. Sending from an application (col.12, lines 15-18; browser) to a mobile interactions server first data describing a first graphical element for display on the mobile device (col.8, lines 48-62, col.11, lines 65-67, col.12, lines 1-14, col.13, lines 1-3) and one or more event handling methods of the application associated with the first graphical element (col.12, lines 15-30, 48-67, where the list of event handling methods are performed by the browser from the mobile device to the server);
- b. Receiving at a particular event handling method of the plurality of event handling methods second data describing an event having a particular event type of a plurality of predetermined event types in response to an action by a user of the mobile device (col.12, lines 32-43, col.13, lines 1-3, 13-19, 26-32, 39-58, col.14, lines 10-58); and
- c. Providing a service for the client process in response to receiving the second data (col.14, lines 10-58), wherein
  - i. The particular event handling method is associated with the particular event type (col.14, lines 10-25), and
  - ii. The action is determined by the mobile interactions server to be associated with the particular event type (col.13, lines 29-38, col.14, lines 10-25).

***Claim Rejections - 35 USC § 103***

17. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

18. Claims 9-19, 26, 42-52 and 59 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schwartz et al (Schwartz), US 6,473,609, in view of "Official Notice".

19. As per claims 9, 12, 15, 17, 42, 45, 48 and 50, Schwartz taught the invention substantially as claimed in claims 1 and 34. Schwartz further taught that the first graphical element is included in a page of one or more graphical elements associated with requesting the service from the application (col.13, lines 1-3, 39-58, 64-67, col.14, lines 1-9). Schwartz taught to define event types (col.19, lines 32-44). Schwartz did not specifically teach that the plurality of predetermined event types includes an exit-graphical-element event type, an enter-graphical-element event type, an exit-page event type, an enter-page event type and a special-key-pressed event type. "Official Notice" is taken that the limitations narrowed by these claims are considered obvious and furthermore a matter of design choice in naming and defining the event types. It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and further include various events types of different categories to enhance Schwartz's method and further define event types (col.19, lines 32-44).



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20. As per claims 10-11, 13-14, 16, 18-19, 43-44, 46-47, 49 and 51-52, Schwartz taught the invention substantially as claimed in claims 9, 12, 15, 17, 42, 45, 48 and 50. Schwartz further taught to determine whether the first message indicates the first action corresponds to one of moving a cursor from the first graphical element and pressing an enter key (col.13, lines 15-24, 47-63); determining whether the first message indicates the first action corresponds to moving a cursor onto the first graphical element (col.13, lines 15-24); determining whether the first message indicates the first action corresponds to pressing an enter key on a graphical element associated with a reference to a different page (col.14, lines 10-25, col.16, lines 8-29); determining whether the first message indicates the first action corresponds to pressing an enter key on a last graphical element on the page (col.13, lines 58-63, col.14, lines 10-16); determining whether the first message indicates the first action corresponds to one of pressing a page-back key (Back), pressing a page-forward key (Ok) and pressing a menu key (Home, col.16, lines 8-29); determining whether the first message indicates the first action corresponds to pressing an enter key on a graphical element associated with a main menu item (col.9, lines 15-26); the first graphical element is included in a page of one or more graphical elements associated with requesting the service from the application and the application includes one or more pages (col.13, lines 1-3, 39-58, 64-67, col.14, lines 1-9); determining whether the first message indicates the first action corresponds to pressing an enter key on a last graphical element on a page without a reference to a different page (pressing Ok button there are no other referencing page). Schwartz further taught to define event types (col.19, lines 32-44). Schwartz did not specifically teach to define the event types if the first action does correspond to pressing the key. However, "Official Notice" is taken that the limitations narrowed by these definitions in event

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types are considered obvious and furthermore a matter of design choice of naming event types.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teaching of Schwartz and further define event types of specific actions in Schwartz's method to identify specific activities.

21. As per claims 26 and 59, Schwartz taught the invention substantially as claimed in claims 25 and 58. Schwartz did not specifically teach that the first event handling interface inherits from a JAVA event handling interface. However, Schwartz taught that different types markup languages can be used in implementing the data format for display (col.8, lines 55-67). "Official Notice" is taken that the advantage of using JAVA is well known and expected in the art. It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and the use of JAVA and enable Schwartz's method to support JAVA event handling interface in displaying events in supporting JAVA programmed applications.

22. Claims 20-21, 23-24, 28, 53-54, 56-57 and 61 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schwartz et al (Schwartz), US 6,473,609, in view of Gamo, US 6,279,121.

23. Gamo was cited in the previous office action.

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24. As per claims 20 and 53, Schwartz taught the invention substantially as claimed in claims 2 and 35. Schwartz did not specifically teach that if the particular event handling method determines no more pages of one or more graphical elements are to be provided by the application, then receiving third data indicating a particular exception in a particular event exception handling method of the mobile interactions server for exiting the application. Gamo taught to detect event exceptions and use event exception handling methods to resolve exception events with corresponding pre-set processes (col.3, lines 3-7, 13-42, col.21, lines 31-53, 61-68, col.22, lines 1-3). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and Gamo because Gamo's teaching of registering exception events and event exception handling methods provides Schwartz's method of registering all possible event exceptions and methods of resolving them in order to resolve the occurred application interruptions in Schwartz's method (see Gamo, col.1, lines 18-22, col.3, lines 13-17).

25. As per claims 21 and 54, Schwartz taught the invention substantially as claimed in claims 2 and 35. Schwartz further taught to comprise if the particular event handling method determines a request is to be made to a network resource having a particular URL address, then receiving third data indicating the URL address in a particular event of the mobile interactions server for sending the request to the network resource (col.16, lines 16-58). Schwartz did not specifically teach the third data indicating a particular exception in a particular event exception handling method of the mobile interactions server. Gamo taught to indicate event exceptions and use event exception handling methods to resolve exception events (col.3, lines 3-7, 13-42, col.21,

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lines 31-53, 61-68, col.22, lines 1-3). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and Gamo because Gamo's teaching of registering exception events and event exception handling methods provides Schwartz's method of registering all possible event exceptions and methods of indicating and resolving them in order to resolve the occurred application interruptions in Schwartz's method (see Gamo, col.1, lines 18-22, col.3, lines 13-17).

26. As per claims 23-24 and 56-57, Schwartz taught the invention substantially as claimed in claims 2 and 35. Schwartz did not specifically teach to comprise, if the particular event handling method determines not to complete processing of the particular event, then receiving third data indicating a particular exception in a particular event exception handling method of the mobile interactions server for invoking all remaining event handling methods of the application for the particular event or only the event handling methods of the mobile interactions server for the particular event. Gamo taught to register event exceptions and use pre-set event exception handling methods to resolve exception events (col.3, lines 3-7, 13-42, col.21, lines 31-53, 61-68, col.22, lines 1-3). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and Gamo because Gamo's teaching of registering exception events and event exception handling methods provides Schwartz's method of registering all possible event exceptions and methods of indicating and resolving them in order to resolve the occurred application interruptions in Schwartz's method (see Gamo, col.1, lines 18-22, col.3, lines 13-17).

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27. As per claims 28 and 61, Schwartz taught the invention substantially as claimed in claims 27 and 60. Schwartz did not specifically teach that the method further comprising the steps of: determining whether to invoke an exception handling method of the mobile interactions server; and if it is determined to invoke the exception handling method, then sending third data describing a particular exception to the exception handling method. Gamo taught to detect event exceptions and determine whether to use event exception handling methods to resolve exception events (col.3, lines 3-7, 13-42, col.21, lines 31-53, 61-68, col.22, lines 1-3). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and Gamo because Gamo's teaching of registering exception events and event exception handling methods provides Schwartz's method of registering all possible event exceptions and methods of indicating and resolving them in order to resolve the occurred application interruptions in Schwartz's method (see Gamo, col.1, lines 18-22, col.3, lines 13-17).

28. Claims 29-33 and 62-66 are rejected under 35 U.S.C. 103(a) as being unpatentable over Schwartz and Gamo as applied to claims 28 and 61 above, and further in view of "Official Notice".

29. As per claims 29-33 and 62-66, Schwartz and Gamo taught the invention substantially as claimed in claims 28 and 61. Gamo further taught to register event exceptions (col.3, lines 3-7, 13-42, col.21, lines 31-53, 61-68, col.22, lines 1-3). Schwartz and Gamo did not specifically teach that the particular exception is associated with no more pages of one or more graphical elements for the client process; with redirecting the mobile interactions server to a network

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resource having a particular URL address, with aborting all event handlers for the event, with interruption the particular event handler for the event; or with invoking only an event handler of the mobile interactions server for the event. "Official Notice" is taken that the limitations narrowed by these claims are considered obvious and furthermore a matter of design choice in defining particular exceptions. It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and Gamo because Gamo's teaching of registering exception events enables Schwartz's method to define and indicate exception events (see Gamo, col.1, lines 18-22, col.3, lines 13-17).

### ***Response to Arguments***

30. Applicant's arguments filed 12/27/04 have been fully considered but they are not persuasive.

31. In the remark, applicant argued that (1) Schwartz does not teach or suggest, "based on the first message, determining whether the action is associated with an event type of a plurality of predetermined event types". (2) Schwartz fails to teach or suggest that "sending from an application to a mobile interactions server ... one or more event handling methods of the application associated with the first graphical element". (3) Applicant traverse the "Official Notice" taken in connection with claims 9-19, 42-52, and 29-33, 62-66.

32. Examiner traverse the argument:

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As to point (1), Schwartz taught to include different types of HDML cards, a display card, a choice card, an entry card, and a no-display card where these cards are triggered by the input of the user (col.11, lines 65-67, col.12, lines 1-67, col.13, lines 1-32). The cards are presented to the user base on the user inputs (e.g., event types) and requests are send to link server (col.13, lines 15-32). The link server, base on the request sent by the mobile device (e.g., first message), interprets the request action type (e.g., determining whether the action is associated with an event type of a plurality of predetermined event types; col.13, lines 29-36). Schwartz taught to define event types as requests kinds (col.19, lines 32-44), Schwartz inherently taught to use request types (event types) since different request type is needed to retrieve different HDML cards.

As to point (2), Schwartz taught to send from an application (col.12, lines 15-18; browser) to a mobile interactions server first data describing a first graphical element for display on the mobile device (col.8, lines 48-62, col.11, lines 65-67, col.12, lines 1-14, col.13, lines 1-3) and one or more event handling methods of the application associated with the first graphical element (col.12, lines 15-30, 48-67, where the list of event handling methods are performed by the browser from the mobile device to the server).

As to point (3), As per claims 9-19 and 42-52, Schwartz taught to define event types (col.19, lines 32-44) where the events types are defined by client request kinds including "Entry", "GotoURL", "link"...etc. Although Schwartz did not specifically teach that the plurality of predetermined event types includes an exit-graphical-element event type, an enter-graphical-element event type, an exit-page event type, an enter-page event type and a special-key-pressed

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event type, Schwartz taught to define similar event types of different names (col.19, lines 32-44).

Schwartz's definition of various events clearly provided factual finding and evidence of the Official Notice taken that the naming and definition of the event types is merely a matter of design choice. It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and further include various events types of different categories to enhance Schwartz's method and further define event types (col.19, lines 32-44).

Wies et al, US 6,353,850, published on March 5, 2002, but filed on August 28, 2000 with priority date of February 2, 1999 taught to include events such as exiting a page (fig.18, col.35, lines 10-39).

Brim, US 5,835,914, published on November 10, 1998 taught to include events such as closing windows (col.9, lines 43-55).

As per claims 29-33 and 62-66, Schwartz taught to define event types (col.19, lines 32-44) where the events types are defined by client request kinds. Gamo further taught to register event exceptions (col.1, lines 18-22, col.3, lines 3-7, 13-42, col.21, lines 31-53, 61-68, col.22, lines 1-3). Schwartz and Gamo did not specifically teach to define particular exceptions. Schwartz's teachings of defining events types in combination with Gamo's teaching of using exception events evidently suggested the teachings of defining events such as exception events where the various types of events defined may be in accordance to matters of design choice. It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teachings of Schwartz and Gamo because Gamo's teaching of registering exception events



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enables Schwartz's method to define and indicate exception events (see Gamo, col.1, lines 18-22, col.3, lines 13-17).

Because Applicants have failed to challenge some of the Examiner's "Official Notices" stated in the previous office action in a proper and reasonably manner, they are now considered as admitted prior art. See MPEP 2144.03

### *Conclusion*

33. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.


34. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kenny Lin whose telephone number is (571) 272-3968. The examiner can normally be reached on 8 AM to 5 PM Tue.-Fri. and every other Monday.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Follansbee can be reached on (571) 272-3964. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

ksl  
March 31, 2005

  
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